

Master trusts: notifying us of a resolved triggering event

For guidance on notifying us of a resolved triggering event, please visit www.tpr.gov.uk/trustees/master-trust-duties.aspx. Trustees are required to notify us when they consider the triggering event has been resolved.

You have 14 days to notify us from the date the triggering event was resolved, so we recommend sending the form by email. However, if you are unable to email and choose to notify us by post, you should obtain proof of posting as we may need to see this. Please complete all sections of this form in full.

This form can be:

- ▶ completed electronically using Adobe Reader (version 8 and above) and emailed to regulatorytransactions@tpr.gov.uk; or
- ▶ printed, completed by hand (please use block capitals) and posted to Regulatory Transactions, The Pensions Regulator, Napier House, Trafalgar Place, Brighton BN1 4DW

Please note that we will use the information provided in exercising our functions and may contact you for further information. When we receive your form we will notify the trustees of whether we are satisfied that the triggering event has been resolved.

Details

Master trust (or relevant product series) name

PSR number

What type of triggering event has been resolved? Select all that apply.

An insolvency event occurred in relation to a scheme funder.

A scheme funder became unlikely to continue as a going concern, where the scheme funder is a person or body of a kind that meets requirements prescribed under section 129(1)(b) of the Pensions Act 2004.

A scheme funder decided to end the relationship or arrangement with the master trust scheme by virtue of which it is a scheme funder.

A scheme funder ended the relationship or arrangement with the master trust scheme by virtue of which it is a scheme funder.

A scheme funder, scheme strategist or the trustees decided that the master trust scheme should be wound up, where the person making the decision has power to do so under the scheme or the rules of the scheme.

An event occurred which is required or permitted by the scheme or the rules of the scheme to result in the winding up of the master trust scheme.

The trustees decided that the master trust scheme is at risk of failure and so it is necessary for one of the continuity options to be pursued (see section 23 Pension Schemes Act 2017).

Date of triggering event

Date The Pensions Regulator was notified of triggering event

Date trustees considered triggering event to be resolved
(as per paragraph 5c of Schedule 2 of the Pension Schemes Act 2017)

Full name of person who submitted notification of triggering event

Role in relation to scheme:

Scheme trustee

Scheme funder

Scheme strategist

Role within your organisation if different to the above

Organisation name

Please explain how the triggering event has been resolved, in the opinion of the trustees.

Have any other triggering events occurred since the date the above triggering event occurred?

If you have not already done so, these must be notified to us within seven days of the triggering events occurring, and their resolution notified within 14 days of the date on which the trustees consider the triggering event resolved.

Yes

No

If yes, please provide details of all outstanding triggering events, including whether the trustees consider these to have also been resolved.

Declaration

Please tick to confirm:

that the information you have provided is true and correct to the best of your knowledge and belief

that you understand that it is a criminal offence knowingly or recklessly to provide us with false or misleading information

that you, or the contact person, are willing to receive any correspondence from us in relation to this notification by email at the contact email address given below

Signature

if form sent by post

Full name

Role within your organisation

if different to the above

Organisation name

Contact address

Contact email address

Contact telephone number

Date form completed

Contact details if different from above

Full name

Role within your organisation
if different to the above

Organisation name

Contact address

Contact email address

Contact telephone number